



PATENT
Docket No. 226272003311

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV336623853US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: April 5, 2004.


Tia B. Zimmerman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Edward M. ATKINSON et al.

Serial No.: 10/020,482

Filing Date: October 30, 2001

For: METHODS FOR GENERATING HIGH
TITER HELPER-FREE
PREPARATIONS OF RELEASED
RECOMBINANT AVV VECTORS

Examiner: M. Hill

Group Art Unit: 1648

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record in the application.

Applicants bring to the attention of the Examiner documents numbered 1 through 8 on the attached PTO Form-1449 which copies are not being submitted herewith. These documents are co-pending related applications and read as follows:

- Application Serial No. 09/561,190 filed April 27, 2000 by D. H. Lockert et al.

- Application Serial No. 09/578,561 filed May 25, 2000 by S. Rasty et al.
- Application Serial No. 09/684,554 filed October 6, 2000 by J. F. Engelhardt et al.
- Application Serial No. 09/689,136 filed October 12, 2000 J. F. Engelhardt
- Application Serial No. 09/807,802 filed November 29, 2001 by J. M. Wilson et al.
- Application Serial No. 10/089,394 filed March 29, 2002 by H. Chen et al.
- Application Serial No. 10/169,544 filed May 30, 2002 by G. Guangping
- Application Serial No. 10/615,119 filed July 7, 2003 by C.M. Carmel et al.

This Supplemental Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of __ is enclosed.
 - ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.

- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of ___ is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

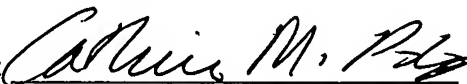
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 226272003311. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 5, 2004

Respectfully submitted,

By: 

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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	04/27/2000	09/561,190	Lockert et al.			
	2.	05/25/2000	09/578,561	Rasty et al.			
	3.	10/06/2000	09/684,554	Engelhardt et al.			
	4.	10/12/2000	09/689,136	Engelhardt			
	5.	11/29/2001	09/807,802	Wilson et al.			
	6.	03/29/2002	10/089,394	Chen et al.			
	7.	05/30/2002	10/169,544	Guangping			
	8.	07/07/2003	10/615,119	Carmel et al.			
	9.	01/03/2002	2002/0001581	Lynch et al.			
	10.	03/07/2002	2002/0028497	Blanche et al.			
	11.	07/11/2002	2002/0090717	Gao et al.			
	12.	09/19/2002	2002/0131956	Walsh et al.			
	13.	11/07/2002	2002/0164783	Feldhaus			
	14.	06/26/2003	2003/0119191	Gao et al.			
	15.	05/01/2003	2003/0082145	Johnson			
	16.	09/18/2003	2003/0175974	Allen			
	17.	08/18/1992	5,139,941	Muzyczka et al.			
	18.	12/24/1996	5,587,308	Carter et al.			
	19.	07/29/1997	5,652,224	Wilson et al.			
	20.	05/26/1998	5,756,283	Wilson et al.			
	21.	01/05/1999	5,856,152	Wilson et al.			
	22.	02/16/1999	5,871,982	Wilson et al.			
	23.	02/16/1999	5,872,154	Wilson et al.			
	24.	12/14/1999	6,001,557	Wilson et al.			
	25.	11/07/2000	6,143,548	O'Riordan et al.			
	26.	01/16/2001	6,174,527	Wilson et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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	27.	02/06/2001	6,183,993	Boyce et al.			
	28.	02/27/2001	6,194,191	Zhang et al.			
	29.	03/20/2001	6,203,975	Wilson et al.			
	30.	04/03/2001	6,211,160	Wilson et al.			
	31.	06/26/2001	6,251,957	Wilson et al.			
	32.	07/10/2001	6,258,595	Gao et al.			
	33.	07/17/2001	6,261,551	Wilson et al.			
	34.	08/07/2001	6,270,996	Wilson et al.			
	35.	08/14/2001	6,274,354	Wilson et al.			
	36.	08/28/2001	6,281,009	Boyce			
	37.	08/28/2001	6,281,010	Gao et al.			
	38.	01/15/2002	6,338,953	Boyce et al.			
	39.	02/12/2002	6,346,415	Feldaus			
	40.	04/02/2002	6,365,394	Gao et al.			
	41.	08/20/2002	6,436,392	Engelhardt et al.			
	42.	11/05/2002	6,475,769	Wilson et al.			
	43.	11/12/2002	6,479,273	Bogedain et al.			
	44.	11/19/2002	6,482,634	Wilson et al.			
	45.	03/25/2003	6,537,540	Burstein et al.			
	46.	04/01/2003	6,541,258	Allen et al.			
	47.	04/15/2003	6,548,286	Samuski et al.			
	48.	05/20/2003	6,566,118	Atkinson et al.			
	49.	07/22/2003	6,596,535	Carter			
	50.	08/26/2003	6,610,290	Podsakoff et al.			
	51.	08/26/2003	6,610,321	Huang et al.			
	52.	10/14/2003	6,632,670	Wadsworth et al.			
	53.	11/04/2003	6,642,051	Lynch et al.			

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	54.	12/09/2003	6,660,514	Zolotukhin et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES	NO
	55.	12/09/1993	WO 93/24641	WIPO				
	56.	12/21/1995	WO 95/34670	WIPO				
	57.	05/09/1996	WO 96/13597	WIPO				
	58.	05/19/1996	WO 96/13598	WIPO				
	59.	08/29/1996	WO 96/26285	WIPO				
	60.	08/29/1996	WO 96/26286	WIPO				
	61.	12/12/1996	WO 96/39530	WIPO				
	62.	03/12/1998	WO 98/09656	WIPO				
	63.	03/12/1998	WO 98/10086	WIPO				
	64.	03/12/1998	WO 98/10088	WIPO				
	65.	03/19/1998	WO 98/11243	WIPO				
	66.	03/25/1999	WO 99/14351	WIPO				
	67.	04/01/1999	WO 99/15677	WIPO				
	68.	04/01/1999	WO 99/15685	WIPO				
	69.	04/29/1999	WO 99/20773	WIPO				
	70.	04/29/1999	WO 99/20779	WIPO				
	71.	09/23/1999	WO 99/47691	WIPO				
	72.	11/25/1999	WO 99/60146	WIPO				
	73.	05/18/2000	WO 00/28061	WIPO				
	74.	09/21/2000	WO 00/55342	WIPO				
	75.	12/07/2000	WO 00/73480	WIPO				
	76.	12/14/2000	WO 00/75353	WIPO				

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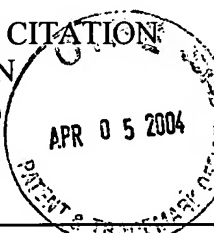
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	77.	12/14/2000	WO 00/75365	WIPO				
	78.	12/21/2000	WO 00/77233	WIPO				
	79.	04/05/2001	WO 01/23597	WIPO				
	80.	04/05/2001	WO 01/23001	WIPO				
	81.	04/12/2001	WO 01/25462	WIPO				
	82.	04/12/2001	WO 01/25465	WIPO				
	83.	06/07/2001	WO 01/40455	WIPO				
	84.	06/21/2001	WO 01/44280	WIPO				

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

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